





### 845.27,700D1(5746-03-EJF)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )	
Sau-Hung Spence LEUNG et al. )	Examiner: Not yet assigned
Application No.: Rule 1.53 ) Divisional of 09/395,104	Group Art Unit: Not yet assigned
Filed: Herewith )	
For: FAST DISSOLVING ORALLY ) CONSUMABLE FILMS SUBSTRATES COATED WITH THE SAME	April 17, 2001
Commissioner for Patents Washington, D.C. 20231	

### INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. In accordance with 37 C.F.R. §1.98(d), since the documents are available in parent application No. 09/395,104 from which the present application claims benefit under 35 U.S.C. 120, copies of the listed documents are not enclosed.

# CONCLUSION It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such

information has been considered.

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

Attorney for Applicants Raymond R. Mandra

Registration No. 34,382

FITZPATRICK, CELLA, HARPER & SCINTO 30 Rockefeller Plaza New York, New York 10112-3801 Facsimile: (212) 218-2200

### FORM PTO 1449 (modified)

# U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)

ATTY DOCKET NO. 845.27,700D1 (5746-03-EJF)

APPLICATION NO. Divisional of 09/395,104

APPLICANT
Sau-Hung Spence LEUNG et al.

**FILING DATE** 

**GROUP Not yet as:** 

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		ii .	U.S. PATENT DOCUMENTS			
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	3,803,300	4/9/74	Pospischil et al	424	28	
	5,741,510	4/21/98	Rolf et al	424	448	
	5,023,082	6/11/91	Friedman et al	424	426	
	3,444,858	5/20/66	Russell			
	4,197,289	4/8/80	Sturzenegger et al			
	4,562,020	12/31/85	Hijiya et al			
	4,623,394	11/18/86	Nakamura et al			
	4,925,670	5/15/90	Schmidt			
			FOREIGN PATENT DOCUMENTS			
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATIO YES/NO/ OR ABSTRAC
	1313620	2/16/93	Canada			
	9826763	6/25/98	WIPO			
	9826780	6/25/98	WIPO			
	9855079	12/10/98	WIPO			
	9917753	4/15/99	WIPO			
		OTHER DOCU	MENT(S) (Including Author, Title, Date, Pertinent Pages, Etc	:.)		
	Shih, Freder Chemistry, v	rick F., "Edi vol. 73, no. 3	ble films from rice protein concentra 3, 1966, pp. 406-409.	ate and pullu	ılan", Cer	eal 
EXAMINER		·	DATE CONSIDERED			

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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NAME				ı	J.S. PATENT DOCUMENTS				
5,047,244 9/10/91 Sanvordeker et al 5,354,551 10/11/94 Schmidt 5,411,945 5/2/95 Ozaki et al 5,518,902 5/21/96 Ozaki et al 5,629,003 5/13/97 Horstmann et al  FOREIGN PATENT DOCUMENTS  DOCUMENT DATE COUNTRY CLASS SUBCLASS TRANSLATIC YES/NO/OR ABSTRAY  101790451 12/25/96 Japan 63280014 11/17/88 Japan 2642354 5/2/97 Japan 5236885 9/17/93 Japan 63296655 12/2/88 Japan	*EXAMINER INITIAL	DOCUMENT NUMBER	DATE		NAME	CLAS	S SUBCLASS	FILING DATE	
5,354,551 10/11/94 Schmidt  5,411,945 5/2/95 Ozaki et al  5,518,902 5/21/96 Ozaki et al  5,629,003 5/13/97 Horstmann et al  FOREIGN PATENT DOCUMENTS  POCLUMENT NUMBER DATE COUNTRY CLASS SUBCLASS TRANSLATIC YESNOV OR ABSTRACT  101790451 12/25/96 Japan  63280014 11/17/88 Japan  2642354 5/2/97 Japan  5236885 9/17/93 Japan  63296655 12/2/88 Japan		4,927,636	5/22/90		Hijiya et al				
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5,629,003   5/13/97   Horstmann et al		5,411,945	5/2/95		Ozaki et al				
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FORM PTO 1449 (modified)

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APPLICANT
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**GROUP Not yet assigned** 

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	4,820,506	4/11/89	Kleinberg et al	426	3	
	5,948,430	9/7/99	Zerbe et al	424	435	
	5,700,478	23/12/97	Biegajski et al	424	434	
	5,284,659	2/94	Churukuri et al	424	441	
			FOREIGN PATENT DOCUMENTS			
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
	0803243	10/29/97	Europe			
	0471558	2/19/92	Europe			
	0750905	1/2/97	Europe		`	
	04321619	11/11/92	Japan			
	62072609	4/3/87	Japan			
	9811867	3/26/98	WIPO			
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	62135417	6/18/87		Japan			
	0781546	2/7/97		Europe			

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	0256611	2/24/88	Europe		
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FORM PTO 1449 (modified)

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## U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

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	9124512	5/13/97	Japan				
	63310818	12/19/88	Japan				
	5001198	1/8/93	Japan			<del> </del>	
	541602	6/24/93	Japan	-		-	
	63059855	3/15/88	Japan				
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Sheet <u>5</u> of <u>6</u>

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	63310817	12/19/88	Japan					
	5001198	1/8/93	Japan					
	9820862	5/22/98	WIPO					
	541602	6/24/93	Japan					
	9303649	3/4/93	WIPO					
	0803243	10/29/97	Europe					
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